

<b>Office Action Summary</b>	Application No.	Applicant(s)
	09/682,742	COLVIN ET AL.
	Examiner Timothy J. Dole	Art Unit 2858

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) Responsive to communication(s) filed on \_\_\_\_.  
 2a) This action is FINAL.                  2b) This action is non-final.  
 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) Claim(s) 1-20 is/are pending in the application.  
 4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.  
 5) Claim(s) \_\_\_\_ is/are allowed.  
 6) Claim(s) 1-20 is/are rejected.  
 7) Claim(s) \_\_\_\_ is/are objected to.  
 8) Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) The specification is objected to by the Examiner.  
 10) The drawing(s) filed on 12 October 2001 is/are: a) accepted or b) objected to by the Examiner.  
     Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
 11) The proposed drawing correction filed on \_\_\_\_ is: a) approved b) disapproved by the Examiner.  
     If approved, corrected drawings are required in reply to this Office action.  
 12) The oath or declaration is objected to by the Examiner.

#### Priority under 35 U.S.C. §§ 119 and 120

- 13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
 a) All b) Some \* c) None of:  
 1. Certified copies of the priority documents have been received.  
 2. Certified copies of the priority documents have been received in Application No. \_\_\_\_.  
 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  
 \* See the attached detailed Office action for a list of the certified copies not received.  
 14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  
 a) The translation of the foreign language provisional application has been received.  
 15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                                  | 4) <input type="checkbox"/> Interview Summary (PTO-413) Paper No(s). ____   |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                         | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449) Paper No(s) <u>3</u> . | 6) <input type="checkbox"/> Other: _____                                    |

## **DETAILED ACTION**

### *Oath/Declaration*

1. The declaration is objected to because of the following informalities: the words and dates on the right hand side of the declaration have been cut off.

Appropriate correction is required.

### *Drawings*

2. The drawings are objected to as failing to comply with 37 CFR 1.84(p)(5) because they include the following reference sign(s) not mentioned in the description: 84 in Figure 3. A proposed drawing correction, corrected drawings, or amendment to the specification to add the reference sign(s) in the description, are required in reply to the Office action to avoid abandonment of the application. The objection to the drawings will not be held in abeyance.

### *Specification*

3. It is requested that the Applicant update the information related to the cross-reference application on page one to include the serial number and filing date.
4. The disclosure is objected to because of the following informalities: tubular portion 24 should be tubular portion 28 on page 4, paragraph 22, line 5; also 52 should be 58 on the last line of page 5.

Appropriate correction is required.

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5. The use of the trademark Teflon has been noted in this application on page 5, paragraph 26, line 3. It should be capitalized wherever it appears and be accompanied by the generic terminology.

Although the use of trademarks is permissible in patent applications, the proprietary nature of the marks should be respected and every effort made to prevent their use in any manner, which might adversely affect their validity as trademarks.

6. Claims 1-15 are objected to because of the following informalities: the word “that” should be “than” in claim 1, line 5, and in claim 15, line 9. Claims 2-10 inherit the deficiencies since they are dependent on claim 1. The word “a” should be removed from the phrase “generating a an output” in claim 4, line 2. Claim 8 recites the limitation “said gain adjustment circuit” in the first line. There is insufficient antecedent basis for this limitation in the claim. The Examiner assumes that claim 8 depends on claim 7 and examines the case as such. Claim 10 recites the limitation “said control circuit” in the first line. There is insufficient antecedent basis for this limitation in the claim. The Examiner assumes that claim 10 depends on claim 4 and examines the case as such. Claim 11 recites the limitation “said second outer diameter” in the fifth line. There is insufficient antecedent basis for this limitation in the claim. Also, in claim 11 on line 5, the word “electrode” should be added to the phrase “a second annular” and the word “first” in the phrase “a second first inner diameter” should be removed. Claims 12-15 inherit the deficiencies since they are dependent on claim 11. Appropriate correction is required.

***Claim Rejections - 35 USC § 102***

7. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

8. Claims 1 and 16 are rejected under 35 U.S.C. 102(b) as being anticipated by Yoshida et al (USPN 5,931,802).

Referring to claim1, Yoshida et al. discloses a conductivity sensor (fig. 1B) comprising: a first annular electrode having a first inner diameter (fig. 1B (25)); a second annular electrode having the first inner diameter (fig. 1B (25)); and a tubular portion (fig. 1B (26)) disposed axially between said first electrode and said second electrode, said tubular portion having a second inner diameter greater than said first inner diameter (fig. 1B), said tubular portion said first electrode and said second electrode defining a sensor cell (column 3, lines 45-47), said cell having a cell length between said first electrode and said second electrode.

Referring to claim 16, Yoshida et al. discloses a method of assembling a conductivity sensor comprising: coupling a first annular electrode having a first inner diameter to a tubular portion; coupling a second annular electrode having the first inner diameter to the tubular portion so that the tubular portion is positioned axially between said first electrode and said second electrode (column 3, lines 45-52).

9. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

10. Claim 2 is rejected under 35 U.S.C. 103(a) as being unpatentable over Yoshida et al. in view of Murdock (USPN 3,991,623).

Yoshida et al discloses the sensor as claimed except for the cell having a cell constant defined by the formula:  $\pi D_2^2/4L$  where  $D_2$  is said second inner diameter.

Murdock discloses a cell having a cell constant defined by the formula:  $\pi D_2^2/4L$  where  $D_2$  is said second inner diameter (column 4, line 41). It should be noted that Murdock discloses a cell constant equal to  $L/A$  where  $L$  is the length between the electrodes and  $A$  is the cross sectional area. Therefore, substituting for  $A = \pi R^2$  and  $R = 2D$ , where  $R$  is the radius and  $D$  is the diameter, the cell constant of Murdock can be made to be equal to  $\pi D_2^2/4L$ .

Therefore, it would have been obvious to one skilled in the art at the time of the invention to incorporate the cell constant of Murdock into the conductivity sensor of Yoshida et al. for the purpose of obtaining a formula for determining the conductivity of the fluid in the cell (column 4, lines 23-41).

11. Claims 3,11-15 and 17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Yoshida et al in view of Jeter (USPN 3,866,678).

Referring to claim 3, Yoshida et al. discloses the sensor as claimed except for a seal material between said first annular electrode and said tubular portion.

Jeter discloses a sensor with a seal material (column 5, line 47-49) between a first annular electrode (fig. 1A (24)) and a tubular portion (fig. 1B).

Therefore, it would have been obvious to one skilled in the art at the time of the invention to incorporate the seal material of Jeter into the conductivity sensor of Yoshida et al. for the purpose of sealing the joint from fluid leaks as well as electrical leaks (column 5, lines 49-50) whereby preserving the conductive fluid and making measurements more accurate.

Referring to claim 11, Yoshida et al. discloses a conductivity sensor (fig. 1B) comprising: a first annular electrode having a first inner diameter and a first outer diameter (fig. 1B (25)); a second annular electrode having a second inner diameter and a second outer diameter (fig. 1B (25)); and a tubular portion (fig. 1B (26)) disposed axially between said first electrode and said second electrode, said tubular portion having a third inner diameter greater than said first inner diameter and said second inner diameter (fig. 1B), said tubular portion said first electrode and said second electrode defining a sensor cell (column 3, lines 45-47), said cell having a cell length between said first electrode and said second electrode.

Yoshida does not disclose said first annular electrode having a first threaded portion said first outer diameter or said second annular electrode having a second threaded portion said second outer diameter.

Jeter discloses a first threaded portion being a first outer diameter and a second threaded portion being a second outer diameter (fig. 2). It should be noted that while Jeter

only discloses a first threaded portion, that multiple joints may be incorporated into each line (column 4, line35-36); therefore a second threaded portion would exist.

Therefore, it would have been obvious to one skilled in the art at the time of the invention to incorporate the threaded connecting portion of Jeter into the conductivity sensor of Yoshida et al. for the purpose of maintaining the continuity of the conduit of non-conductive material so that there is no fluid loss at the joints (column 5, lines 19-27).

Referring to claim 12, Yoshida et al. discloses said first inner diameter and said second inner diameter are equivalent (fig. 1B (25)).

Referring to claim 13, Yoshida et al. discloses said first outer diameter and said second outer diameter are equivalent (fig. 1B (25)).

Referring to claim 14, Yoshida et al. discloses the sensor as claimed except for a seal material between said first annular electrode and said tubular portion.

Jeter discloses a sensor with a seal material (column 5, line 47-49) between a first annular electrode (fig. 1A (24)) and a tubular portion (fig. 1B).

Therefore, it would have been obvious to one skilled in the art at the time of the invention to incorporate the seal material of Jeter into the conductivity sensor of Yoshida et al. for the same purpose as given in claim 3, above.

Referring to claim 15, Yoshida et al. discloses the sensor as claimed except for said seal material comprising polytetrafluoroethylene.

Jeter discloses a seal material comprising polytetrafluoroethylene (column 5, lines 47-51).

Therefore, it would have been obvious to one skilled in the art at the time of the invention to incorporate the seal material comprising polytetrafluoroethylene of Jeter into the conductivity sensor of Yoshida et al. for the same purpose as given in claim 3, above.

Referring to claim 17, Yoshida et al. discloses the method as claimed except for the step of coupling a first annular electrode having a first inner diameter to a tubular portion comprises threadably coupling a first annular electrode having a first inner diameter to a tubular portion.

Jeter discloses threadably coupling a first annular electrode (fig. 1A (24)) having a first inner diameter to a tubular portion (column 5, lines 19-27).

Therefore, it would have been obvious to one skilled in the art at the time of the invention to incorporate the threaded connecting portion of Jeter into the method of Yoshida et al. for the same purpose as given in claim 11, above.

12. Claims 4-6, 9, 10, 18 and 19 are rejected under 35 U.S.C. 103(a) as being unpatentable over Yoshida et al. in view of Colvin et al. (USPN 4,751,466).

Referring to claim 4, Yoshida et al. discloses the sensor as claimed except for a control circuit generating an output corresponding to a conductivity of a fluid between said first annular electrode and said second annular electrode.

Colvin et al. discloses a conductivity sensor with a control circuit (fig. 1) generating an output corresponding to a conductivity of a fluid (column 7, line 68 – column 8, line 3) between a first electrode (fig. 1 (12)) and a second electrode (fig. 1 (14)).

Therefore, it would have been obvious to one skilled in the art at the time of the invention to incorporate the control circuit of Colvin et al. into the conductivity sensor of Yoshida et al. for the purpose of controlling a procedure to increase the accuracy of conductivity measurements whereby making it possible to find the absolute conductivity of a fluid (column 8, lines 11-12).

Referring to claim 5, Yoshida et al. discloses the sensor as claimed except for a calibration circuit.

Colvin et al. discloses a conductivity sensor with a calibration circuit (column 8, lines 11-50).

Therefore, it would have been obvious to one skilled in the art at the time of the invention to incorporate the calibration circuit of Colvin et al. into the conductivity sensor of Yoshida et al. for the purpose of providing a faster calibration time since only one calibration point is needed in addition to a zero conductance point (column 8, lines 36-46).

Referring to claim 6, Yoshida et al. discloses the sensor as claimed except for the calibration circuit comprising a zero adjustment circuit.

Colvin et al. discloses a conductivity sensor wherein the calibration circuit comprises a zero adjustment circuit (column 8, lines 40-50).

Therefore, it would have been obvious to one skilled in the art at the time of the invention to incorporate the zero adjustment circuit of Colvin et al. into the conductivity sensor of Yoshida et al. for the same purpose as given in claim 5, above.

Referring to claim 9, Yoshida et al. discloses the sensor as claimed except for a buffer circuit coupled to said first electrode.

Colvin et al. discloses a buffer circuit coupled to said first electrode (column 7, lines 11-39).

Therefore, it would have been obvious to one skilled in the art at the time of the invention to incorporate the buffer circuit of Colvin et al. into the conductivity sensor of Yoshida et al. for the purpose of controlling the voltage and current between the electrodes in order to maintain the sensitivity and accuracy of the apparatus (column 7, lines 35-44).

Referring to claim 10, Yoshida et al. discloses the sensor as claimed except for the control circuit being operational amplifier-based.

Colvin et al discloses the control circuit is operational amplifier-based (fig. 1).

Therefore, it would have been obvious to one skilled in the art at the time of the invention to incorporate the operational amplifier-based control circuit of Colvin et al. into the conductivity sensor of Yoshida et al. for the purpose of easily building an oscillator, a buffer, a current to voltage converter and a synchronous detector (fig. 1) whereby allowing improved control of all aspects of testing the conductivity of a fluid.

Referring to claim 18, Yoshida et al. discloses the method as claimed except for coupling a control circuit to said first annular electrode and said second annular electrode calibrating the control circuit.

Colvin et al. discloses coupling a control circuit to a first electrode (column 7, lines 30-36) and a second electrode calibrating the control circuit (column 8, lines 36-50).

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Therefore, it would have been obvious to one skilled in the art at the time of the invention to incorporate the control circuit and calibration circuit of Colvin et al. into the method of Yoshida et al. for the same purpose as given in claims 4 and 5, above.

Referring to claim 19, Yoshida et al. discloses the method as claimed except where calibrating said control circuit comprises open circuit zeroing said control circuit.

Colvin et al. discloses a method wherein calibrating a control circuit comprises open circuit zeroing the control circuit (column 8, lines 40-50).

Therefore, it would have been obvious to one skilled in the art at the time of the invention to incorporate the zero adjustment circuit of Colvin et al. into the method of Yoshida et al. for the same purpose as given in claim 5, above.

13. Claims 7, 8 and 20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Yoshida et al. in view of Colvin et al. as applied to claims 5 and 18 above, and further in view of Carll (USPN 4,786,875).

Referring to claim 7, Yoshida et al. as modified discloses the sensor as claimed except for the calibration circuit comprising a gain adjustment circuit.

Carll discloses a gain adjustment circuit (column 6, lines 12-19) for a conductivity sensor.

Therefore, it would have been obvious to one skilled in the art at the time of the invention to incorporate the gain adjustment circuit of Carll into the conductivity sensor of Yoshida et al. as modified for the purpose of simulating cell drop for use in circuit calibration (column 6, lines 19-24) whereby providing an improved calibration method.

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Referring to claim 8, Yoshida et al. as modified discloses the sensor as claimed except for the gain adjustment circuit being coupled to said first electrode.

Carll discloses the gain adjustment circuit (column 6, lines 12-19) is coupled to said first electrode (Fig. 3 (54)). It should be noted that input terminal (54) in fig. 3 is connected to the conductivity cell (column 4, lines 25-27).

Therefore, it would have been obvious to one skilled in the art at the time of the invention to incorporate coupling the gain adjustment circuit to the first electrode of Carll into the conductivity sensor of Yoshida et al. as modified for the same purpose as stated in claim 7, above.

Referring to claim 20, Yoshida et al. as modified discloses the method as claimed except where calibrating the control circuit comprises adjusting the gain of a buffer circuit.

Carll discloses a method wherein calibrating the control circuit comprises adjusting the gain of a buffer circuit (column 10, lines 42-55).

Therefore, it would have been obvious to one skilled in the art at the time of the invention to incorporate the gain-adjusting step of Carll into the method of Yoshida et al. as modified for the same purpose as given in claim 7, above.

14. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

The following patents are cited to show the state of the art with respect to conductivity sensors.

USPN 5,792,964 to van den Berg: This patent shows an apparatus with annular electrodes for measuring the conductivity of a fluid.

USPN 5,025,220 to Colvin et al: This patent shows an apparatus and control circuit for measuring the conductivity of a fluid.

USPN 4,365,200 to Goldsmith: This patent shows a conductivity cell with annular electrodes and a control circuit containing a current to voltage converter.

USPN 4,035,719 to Anderson: This patent shows a conductivity cell with annular electrodes and a control circuit for measuring the conductivity of a fluid.

### *Conclusion*

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Timothy J. Dole whose telephone number is 703-305-7396. The examiner can normally be reached on Mon. thru Fri. from 8:00 to 4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, N. Le can be reached on 703-308-0750. The fax phone numbers for the organization where this application or proceeding is assigned are 703-872-9318 for regular communications and 703-872-9319 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-1782.

TJD  
January 7, 2003

*Tim J. Dole*

*Christine K. Oda*  
Christine Oda  
Primary Examiner